

NA = Rating or information not available. This can also be due to a company with only partial ratings. We do not provide an overall score for partially rated companies.

## Enter The Company Or Companies You Want To Study

1. Enter either a company name, part of a company name, or a brand in column B or enter a ticker into column C or enter an ISIN number into column D.
2. If the CSRHub name you want does not appear in column H, use the CSRHub name lookup tool (top menu, CSRHub...Company) to paste the right text company name.
3. The data in columns I to N should help you double check that you have the right name match. The name in column H is the one used automatically on other sheets.
4. If you need to lookup more than 30 companies, you can copy the formulas in row 44 down.
5. Use column A to store your internal identifier or version of the company name for later reference.
6. Choose Formulas from the top menu, then Calculate Sheet. This will allow our database to update the sheet. You can change the scope of search using the box starting in column P.
7. Enter your CSRHub site credentials (username/password) when directed.

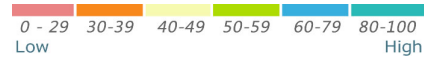
Top Line Summary			6 Companies	Search scope parameters (see Column P)	0	0	0	<a href="#">More instructions and examples are available online.</a>	Ways to Double Check the Name					
Internal ID (Optional)	Enter Name	Enter Ticker (No exchange code)	Enter ISIN	Simple Lookup (NA or blank = didn't work)	Ticker Lookup (NA or blank = didn't work)	ISIN Lookup (NA or blank = didn't work)	Best Guess (replace using name lookup tool when NA)	Country	City	Ticker	# of Sources	ISIN	Industry	Overall Ratings
	Novartis			Novartis AG	NA	NA	Novartis AG	Switzerland	Basel	NOVN	207	CH0012005267	Pharmaceutical & Medicine Manufacturing	62
	Apple Inc			Apple Inc.	NA	NA	Apple Inc.	USA	Cupertino	AAPL	252	US0378331005	Computers & Peripherals	59
	Samsung Electronics Co			<small>Samsung Electronics Company Limited</small>	NA	NA	<small>Samsung Electronics Company Limited</small>	South Korea	Suwon	005930	144	KR7005930003	Semiconductor & Other Electronic Component Mfg.	59
		WMT		NA	Wal-Mart Stores, Inc.	NA	Wal-Mart Stores, Inc.	USA	Bentonville	WMT	268	US9311421039	Retail	57
	Alibaba		US0231351067	NA	Amazon.com, Inc.	NA	Amazon.com, Inc.	USA	Seattle	AMZN	176	US0231351067	Electronic Shopping and Mail-Order Houses	53
				Alibaba Com Limited	NA	NA	Alibaba Com Limited	China	Hangzhou City	9988	47	KYG017191142	Trading Companies	53
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA
				NA	NA	NA	NA				NA	NA	NA	NA

Controls for Fine Tuning Scope of Search

	Name	Ticker	ISIN
Set to include (I) or exclude (X) rated, partially rated, and/or unrated companies in your search	Fully Rated	I	I
	Partially Rated	I	I
	Not Rated	I	I

Changes in the settings above will change the search parameter in cells E11-G11

# CSRHUB<sup>®</sup> Dashboard Top Level Scores



## Overall Information, Including Overall Ratings and Percentile Ranks

Ratings run 0-100 with 100 being best.

Percentile ranks are relative to all companies or to those in the named group. Overall Average, Best Score and Worst Score relate to companies on this page only.

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Average # of Sources		Average	57	48	51	80%	88%	76%
61		Best Score	62	52	52	93%	94%	94%
		Worst Score	53	47	49	65%	83%	50%

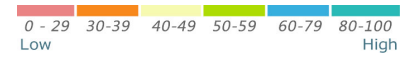
### Basic Data

Company Name (From Enter Company Names Sheet)	# of Sources	Country	Primary Industry	Ratings			Percentile Ranks		
				Overall	Country Average	Industry Average	Overall	Vs Country	Vs Industry
Novartis AG	58	Switzerland	Pharmaceutical & Medicine Manufacturing	62	52	49	93%	90%	94%
Apple Inc.	75	USA	Computers & Peripherals	59	47	52	86%	94%	73%
Samsung Electronics Company Limited	47	South Korea	Semiconductor & Other Electronic Component Mfg.	59	49	51	87%	86%	85%
Wal-Mart Stores, Inc.	86	USA	Retail	57	47	51	80%	91%	77%
Amazon.com, Inc.	73	USA	Electronic Shopping and Mail-Order Houses	53	47	49	67%	84%	78%
Alibaba Com Limited	24	China	Trading Companies	53	49	52	65%	83%	50%



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## Category and Subcategory Percentile Ranks



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Average % Rank	68%	64%	87%	57%	74%	65%	56%	56%	66%	69%	88%	84%	86%	50%	51%	66%
Best % Rank	89%	88%	98%	75%	90%	93%	67%	64%	94%	94%	99%	99%	97%	63%	67%	82%
Worst % Rank	52%	52%	72%	40%	56%	39%	42%	38%	50%	52%	71%	63%	65%	27%	35%	41%

Company Name (From Enter Company Names Sheet)	Category Percentile Ranks				Subcategory Percentile Ranks											
	Community	Employees	Environment	Governance	Community			Employees			Environment			Governance		
					Community Dev & Philanthropy	Human Rights & Supply Chain	Product	Compensation & Benefits	Diversity & Labor Rights	Training, Health & Safety	Energy & Climate Change	Environment Policy & Reporting	Resource Management	Board	Leadership Ethics	Transparency & Reporting
Novartis AG	89%	88%	94%	54%	90%	93%	67%	64%	94%	94%	97%	94%	90%	51%	47%	62%
Apple Inc.	60%	57%	97%	75%	56%	65%	52%	60%	62%	52%	99%	96%	95%	57%	67%	82%
Samsung Electronics Company Limited	77%	67%	98%	40%	79%	81%	61%	51%	72%	76%	95%	97%	27%	40%	66%	
Wal-Mart Stores, Inc.	67%	66%	87%	60%	80%	61%	48%	61%	62%	72%	87%	76%	93%	54%	35%	72%
Amazon.com, Inc.	52%	55%	72%	71%	60%	48%	42%	61%	54%	53%	71%	76%	65%	63%	66%	74%
Alibaba Com Limited	63%	52%	74%	45%	80%	39%	63%	38%	50%	65%	79%	63%	77%	49%	48%	41%

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## List of Reporting Systems These Companies Use

	AA1000 Assurance Standard 2008 (reports titled 2017)	AA1000 Assurance Standard 2008 (reports titled 2018)	B Corp	California Iran Contracting Act	CDP A List 2019	Center for Political Accountability	ChemSec SIN Producers	Community Reinvestment Act	DOE College Scorecard	EMAS	EPA GGRT 2018	EPA GGRT 2019	EPA Transport Data 2017
% With This System	0%	0%	0%	0%	50%	0%	17%	0%	0%	0%	17%	17%	17%
# With This System	0	0	0	0	3	0	1	0	0	0	1	1	1

Company Name	Simple Count	AA1000 Assurance Standard 2008 (reports titled 2017)	AA1000 Assurance Standard 2008 (reports titled 2018)	B Corp	California Iran Contracting Act	CDP A List 2019	Center for Political Accountability	ChemSec SIN Producers	Community Reinvestment Act	DOE College Scorecard	EMAS	EPA GGRT 2018	EPA GGRT 2019	EPA Transport Data 2017
Novartis AG	14	No	No	No	No	Yes	No	Yes	No	No	No	Yes	Yes	No
Apple Inc.	10	No	No	No	No	Yes	No	No	No	No	No	No	No	No
Samsung Electronics Company Limited	6	No	No	No	No	No	No	No	No	No	No	No	No	No
Wal-Mart Stores, Inc.	10	No	No	No	No	Yes	No	No	No	No	No	No	No	Yes
Amazon.com, Inc.	8	No	No	No	No	No	No	No	No	No	No	No	No	No
Alibaba Com Limited	2	No	No	No	No	No	No	No	No	No	No	No	No	No

EPA Transport Data 2018	Equator Principles	Global Reporting Initiative 2018	Global Reporting Initiative 2019	Global Reporting Initiative 2020	Green Business Partnership	Green Seal	GRESB	ISCC	Just 100 2019	Just 100 2020	Just 100 2021	Modern Slavery Registry	Open Apparel Registry	PERI	Protecting Florida's Investments Act	Rainforest Alliance	Resp Sourcing Network	SA 8000	SASB Reporter	STARS	Swiss PRTR
17%	0%	83%	67%	17%	0%	0%	0%	0%	33%	33%	50%	67%	17%	0%	0%	17%	67%	17%	83%	0%	17%
1	0	5	4	1	0	0	0	0	2	2	3	4	1	0	0	1	4	1	5	0	1

EPA Transport Data 2018	Equator Principles	Global Reporting Initiative 2018	Global Reporting Initiative 2019	Global Reporting Initiative 2020	Green Business Partnership	Green Seal	GRESB	ISCC	Just 100 2019	Just 100 2020	Just 100 2021	Modern Slavery Registry	Open Apparel Registry	PERI	Protecting Florida's Investments Act	Rainforest Alliance	Resp Sourcing Network	SA 8000	SASB Reporter	STARS	Swiss PRTR
No	No	Yes	Yes	Yes	No	No	No	No	No	No	No	Yes	No	No	No	No	Yes	No	Yes	No	Yes
No	No	Yes	Yes	No	No	No	No	No	Yes	Yes	Yes	Yes	No	No	No	No	Yes	No	Yes	No	No
No	No	Yes	No	No	No	No	No	No	No	No	No	Yes	No	No	No	No	Yes	Yes	Yes	No	No
Yes	No	Yes	Yes	No	No	No	No	No	No	No	Yes	Yes	No	No	No	Yes	No	No	Yes	No	No
No	No	No	Yes	No	No	No	No	No	Yes	Yes	Yes	No	Yes	No	No	No	Yes	No	Yes	No	No
No	No	Yes	No	No	No	No	No	No	No	No	No	No	No	No	No	No	No	No	No	No	No

Task Force for Climate-Related Disclosure
0%
0

Task Force for Climate-Related Disclosure
No
No
No
No
No



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## Membership In Organizations That May Indicate/Require Social Performance

	3BL Media	ACC	ACCORD	ACGA	AFA	Affirm	ASBC	ASC	BCCCC	BICG	BITC	BSR	CBSR	CCA	CECP	Ceres	Circular Economy	Climate Registry	CSR Europe	DERES	EPEAT	FLA	
% In This Organization	33%	0%	0%	0%	33%	17%	0%	0%	50%	0%	33%	67%	0%	0%	33%	33%	17%	0%	17%	0%	33%	0%	
# In This Organization	2	0	0	0	2	1	0	0	3	0	2	4	0	0	2	2	1	0	1	0	2	0	
Company Name	Simple Count	3BL Media	ACC	ACCORD	ACGA	AFA	Affirm	ASBC	ASC	BCCCC	BICG	BITC	BSR	CBSR	CCA	CECP	Ceres	Circular Economy	Climate Registry	CSR Europe	DERES	EPEAT	FLA
Novartis AG	9	Yes	No	No	No	No	No	No	No	Yes	No	No	Yes	No	No	No	No	No	No	No	No	No	No
Apple Inc.	11	No	No	No	No	No	No	No	No	Yes	No	No	No	No	No	No	Yes	No	No	No	No	Yes	No
Samsung Electronics Company Limited	8	No	No	No	No	No	No	No	No	Yes	No	No	Yes	No	No	Yes	No	No	No	Yes	No	Yes	No
Wal-Mart Stores, Inc.	15	Yes	No	No	No	Yes	No	No	No	No	No	Yes	Yes	No	No	Yes	No	No	No	No	No	No	No
Amazon.com, Inc.	13	No	No	No	No	Yes	Yes	No	No	No	No	Yes	Yes	No	No	No	Yes	Yes	No	No	No	No	No
Alibaba Com Limited	2	No	No	No	No	No	No	No	No	No	No	No	No	No	No	No	No	No	No	No	No	No	No

Forest Disclosure	FSC	Global Alliance for Banking on Values	Global Business Coalition	Green Business Partnership	ICGN	IIRC	INCR	MSC	NAEM	PICG	Pledge One Percent	RBA	SAC	SASB Supporter	SIPRI	SPC	SPLC	SRI-Connect	Sustainability Consortium	Sustainable Brands	TCFD
0%	0%	0%	17%	0%	17%	0%	0%	17%	17%	0%	0%	67%	17%	0%	0%	33%	0%	100%	33%	0%	0%
0	0	0	1	0	1	0	0	1	1	0	0	4	1	0	0	2	0	6	2	0	0
Forest Disclosure	FSC	Global Alliance for Banking on Values	Global Business Coalition	Green Business Partnership	ICGN	IIRC	INCR	MSC	NAEM	PICG	Pledge One Percent	RBA	SAC	SASB Supporter	SIPRI	SPC	SPLC	SRI-Connect	Sustainability Consortium	Sustainable Brands	TCFD
No	No	No	Yes	No	Yes	No	No	No	Yes	No	No	No	No	No	No	No	No	Yes	No	No	No
No	No	No	No	No	No	No	No	No	No	No	No	Yes	No	No	No	No	No	Yes	No	No	No
No	No	No	No	No	No	No	No	Yes	No	No	No	Yes	No	No	No	No	No	Yes	No	No	No
No	No	No	No	No	No	No	No	No	No	No	No	Yes	Yes	No	No	Yes	No	Yes	Yes	No	No
No	No	No	No	No	No	No	No	No	No	No	No	Yes	No	No	No	Yes	No	Yes	Yes	No	No
No	No	No	No	No	No	No	No	No	No	No	No	No	No	No	No	No	No	Yes	No	No	No

UNEP	UNGC Business Leaders	USCAP	WBCSD	WBENC	We Are Still In	We Mean Business	WeConnect	WEF
0%	0%	0%	17%	33%	50%	67%	33%	83%
0	0	0	1	2	3	4	2	5
UNEP	UNGC Business Leaders	USCAP	WBCSD	WBENC	We Are Still In	We Mean Business	WeConnect	WEF
No	No	No	No	No	No	Yes	No	Yes
No	No	No	Yes	Yes	Yes	Yes	Yes	Yes
No	No	No	No	No	No	No	No	No
No	No	No	No	Yes	Yes	Yes	Yes	Yes
No	No	No	No	No	Yes	Yes	No	Yes
No	No	No	No	No	No	No	No	Yes



